



INITE TECH CENTER 1800/2900 ENTS

HINGTON, D.C. 20231 WWW.USDTO.QOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/828,995

04/09/2001

Catherine A. McCall

AL.-7

26949

HESKA CORPORATION INTELLECTUAL PROPERTY DEPT. 1613 PROSPECT PARKWAY FORT COLLINS, CO 80525 CONFIRMATION NO. 9579 FORMALITIES LETTER

OC000000007170391

Date Mailed: 12/07/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Jenter

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



A 22202, THIS 7TH DAY OF FEBRUARY 2002.

BOX SEQUENCE

U.S. Patent and Trademark Office P.O. Box 2327 Arlington, Virginia 22202

Dear Sir:

For:

This is in response to the Notice to Comply With Requirements for Patent Applications

Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed from the

Patent and Trademark Office on December 7, 2001. Applicants respectfully submit the

following documents:

(a) a copy of the Notice to Comply;

"COMPOSITIONS AND METHODS RELATED TO CANINE IgG AND CANINE IL-13 RECEPTORS"

- (b) a corrected electronic copy of the "Sequence Listing" of SEQ ID NO:1 through SEQ ID NO:104 in computer readable form (diskette);
- (c) a corrected paper copy, pages 1 through 114, of the "Sequence Listing" of SEQ ID NO:1 through SEQ ID NO:104; and

(d) a statement that the paper and computer readable copies are the same and include no new matter.

REMARKS

PatentIn 3.1 was used to prepare the replacement paper and diskette copies of the "Sequence Listing" of SEQ ID NO:1 through SEQ ID NO:104. Applicants respectfully submit that the replacement paper and diskette copies of the "Sequence Listing" embody merely the correction of minor clerical errors and therefore include no new matter.

Applicants hereby assert pursuant to 37 CFR § 1.821(f) and (g) and § 1.825(b) that the content of the replacement paper and computer readable copies of SEQ ID NO:1 through SEQ ID NO:104 submitted herewith are the same, and include no new matter.

Applicants do not believe that fees are due, but in the event fees are due, please debit Deposit Account No. 081930.

Respectfully submitted,

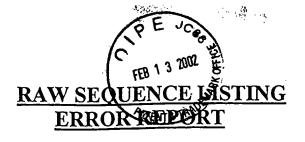
Dated: February 7, 2002

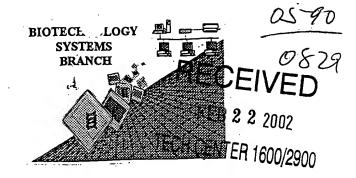
Richard J. Stern, Ph.D. Registration No. P-50,668

Heska Corporation 1613 Prospect Parkway

Fort Collins, Colorado 80525

Telephone: (970) 493-7272 Facsimile: (970) 491-9976





The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/828, 995F

Source: O/PE

Date Processed by STIC: $\frac{9/13/2001}{2001}$

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

Raw Sequence Listing Error Summary

RECEIVED

FEB 2 2 2002

GIS PENTER 160072900

	12-018019 1									
RROR DETECTED	SUGGESSED CORRECTION SERIAL NUMBER: 09/823,49/3 CENTER									
TTN: NEW RULES	MILES E DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO 80									
1Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."									
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.									
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.									
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.									
5Variable Length	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.									
6PatentIn 2.0 "bug"	A "bug" in Patentin version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, Patentin would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.									
7Skipped Sequences (OLD RULES)	Sequence(s)missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped									
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.									
8Skipped Sequences (NEW RULES)	Sequence(s)missing. If Intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000									
9 Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.									
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence									
11Use of <220>	Sequence(s)missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)									
12PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.									
13Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.									

AMC/MH - Biotechnology Systems Branch - 08/21/2001



RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/828,995A

TECH CENTER 1600/2000 DATE: 09/13/2001

TIME: 11:05:09

Input Set : A:\Al-71.app

Output Set: N:\CRF3\09132001\I828995A.raw

Does Not Comply

Corrected Diskette Needed 3 <110> APPLICANT: McCall, Catherine A. Tang, Liang

Heska Corporation

1358 <210> SEQ ID NO: 26

7 <120> TITLE OF INVENTION: COMPOSITIONS AND METHODS RELATED TO CANINE IGG AND

CANINE IL-13 RECEPTORS

10 <130> FILE REFERENCE: AL-7

12 <140> CURRENT APPLICATION NUMBER: 09/828,995A

13 <141> CURRENT FILING DATE: 2001-04-09

15 <150> PRIOR APPLICATION NUMBER: 60/195,659

16 <151> PRIOR FILING DATE: 2000-04-07

18 <150> PRIOR APPLICATION NUMBER: 60/195,874

19 <151> PRIOR FILING DATE: 2000-04-07

21 <160> NUMBER OF SEQ ID NOS: 104

23 <170> SOFTWARE: PatentIn Ver. 2.1

ERRORED SEQUENCES

1359 <211> LENGTH: 239 1360 <212> TYPE: PRT 1361 <213> ORGANISM: Canis familiaris 1363 <400> SEQUENCE: 26 1364 Met Ser Ser Asp Met Ala Trp Ser Pro Leu Leu Leu Thr Leu Leu Ala 10 1367 His Cys Thr Gly Ser Trp Ala Gln Ala Val Leu Asn Gln Pro Ala Ser 25 20 . 1370 Val Ser Gly Ala Leu Gly Gln Lys Val Thr Ile Ser Cys Ser Gly Asp 45 1371 1373 Thr Asn Asp Ile Asp Ile Phe Gly Val Asn Trp Tyr Gln Gln Leu Pro 1374 1376 Gly Lys Ala Pro Thr Val Leu Val Asp Ser Asp Gly Asp Arg Pro Ser 70 1379 Gly Val Pro Asp Arg Phe Ser Gly Ser Ser Gly Asn Ser Gly Thr 90 1382 Leu Thr Ile Thr Gly Leu Gln Ala Glu Asp Glu Ala Asp Tyr Tyr Cys 105 100 1385 Gln Ser Val Asp Ser Thr Leu Gly Val Tyr Val Phe Gly Ser Gly Thr 120 . 125 115 . 1388 Gln Leu Thr Val Leu Gly Gln Pro Lys Ala Ser Pro Ser Val Thr Leu *i* 140 · 135 130 E--> 1391 Phe Pro/Xaa Xaa Xaa)Glu Glu Leu Gly Ala Asn Lys Ala Thr Leu Val 一 150 155 1392 145 E--> 1394 Cys Leu Ile Ser Asp Phe Tyr Pro(Xaa Gly Val Thr Val Ala Trp Lys 170 175 165 1397 Ala Asp Gly Ser Pro Val Thr Gln Gly Val Glu Thr Thr Lys Pro Ser 185 180 1398

See stan 9 on Ever burnery Sheet

RAW SEQUENCE LISTING

DATE: 09/13/2001

PATENT APPLICATION: US/09/828,995A

TIME: 11:05:09

Input Set : A:\Al-71.app

ुँ

Output Set: N:\CRF3\09132001\1828995A.raw

1400	Lys	Gln	Ser	Asn	Asn	Lys	Tyr	Ala	Ala	Ser	Ser	Tyr		Ser	Leu	Thr
1401			195					200					205			
1403	Pro	Asp	Lys	Trp	Lys	Ser	His	Ser	Ser	Phe	Ser	Cys	Leu	Val	Thr	His
1404		210					215					220				
1406	Glu	Gly	Ser	Pro	Val	Glu	Lys	Lys	Val	Ala	Pro	Ala	Lys	Cys	Ser	
1407	225					230					235					

F1) [-)

Use of a modice too has been described in the Sequence Listing. Review that the process the major to be said a community on large made in the called to \$2250 heads of cours sequence a saig more Xaa.

VERIFTCATION SUMMERY

US/09/828,995A PATENT APRI

DATE: 09/13/2001

TIME: 11:05:11

Input Set : A:\Al-71.app Output Set: N:\CRF3\09132001\1828995A.raw

```
PECH CENTER 1600/2900
L:703 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:16
L:959\ M:341\ W: (46) "n" or "Xaa" used, for SEQ ID#:18
L:1323 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25
L:1324 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25
L:1332 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25
L:1391 M:340 E: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:26
M:340 Repeated in SeqNo=26
L:1429 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:27
L:1506 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:28
L:1508 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:28
L:1574 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:30
L:1575 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:30
L:2251~M:341~W: (46) "n" or "Xaa" used, for SEQ ID#:40
L:2363 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:48
L:2865 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:57
L:2935 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:59
L:3073 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:60
L:3172 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:62
L:4904 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:83
L:4937 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:84
L:4965 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:85
L:4993 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:86
```

L:5026 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:87 L:5049 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:88